

SOPHIA FENG

Partner

Fangda Partners

+86 21 2208 1164

sophia.feng@fangdalaw.com



PRACTICE AREAS

MS. FENG SPECIALIZES IN FINANCIAL DISPUTE RESOLUTION IN THE “PAN-ASSET MANAGEMENT” INDUSTRIES SUCH AS SECURITIES, FUTURES, PRIVATE FUNDS AND PRIVATE EQUITY INVESTMENTS AND IN THE HANDLING OF ASSOCIATED REGULATORY LAW ENFORCEMENT ACTIONS AGAINST LISTED COMPANIES AND THEIR CONTROLLING PERSONS, DIRECTORS, SUPERVISORS, SENIOR MANAGEMENT PERSONNEL AND INTERMEDIARY INSTITUTIONS.

REPRESENTATIVE MATTERS AND CASES

Financial disputes

- Representing a leading joint venture securities company in litigation in a CNY 7 million dispute before the Intermediate People’s Court of Guangzhou brought by multiple investors alleging false representations in the independent financial advisor’s report issued by the company for a major restructuring project of an A-share (main board) listed company
- Advising the controlling person of a listed company in securities fraud litigation in a CNY 4.6 billion dispute before the Beijing Financial Court brought by multiple investors alleging fraudulent listing and years of forged financial reports by the company
- Representing a leading domestic wealth management company and its affiliated companies in a dispute with its fund investors after more than CNY 3 billion in underlying fund assets were involved in criminal fraud
- Representing an overseas affiliated company invested and managed by a renowned alternative investment institution in arbitration over a CNY 650 million onshore guarantee contract dispute following an offshore bond default

- Representing a renowned domestic bank and its branch before the Shanghai Financial Court in litigation over alleged letter of credit fraud worth CNY 150 million, includes dealing with related matters in the associated litigation in Singapore and criminal proceedings in China
- Represented a real estate investment company in Dalian and its controlling person in litigation over assignment issues in a CNY 100 million contract dispute with the share purchaser in an equity investment cooperation
- Advised a domestic rural commercial bank on its participation as a creditor holding claims under trust loan agreements and equity pledge agreements in US Chapter 11 proceedings for the restructuring of an individual debtor
- Advised a well-known joint venture securities company on its compliance management of its EB business
- Advised a state-owned bank's Hong Kong affiliate in its litigation over a bond contract dispute involving overseas bonds

Financial regulatory enforcement

- Represented a domestic subsidiary of a well-known US hedge fund in securing a successful administrative settlement with the China Securities Regulatory Commission (CSRC) in a securities transaction investigation with billions of RMB at issue
- Representing the controlling person of a private fund manager in dealing with a CSRC investigation into his alleged violation of the "slow walking rule", includes applying for administrative hearings and adopting a party undertaking mechanism
- Representing a well-known listed company in the pharmaceutical and chemical intermediate business in dealing with disciplinary actions imposed by the Shenzhen Stock Exchange (SSE) for alleged information disclosure misconduct by the company
- Advising a well-known domestic listed company in the equipment manufacturing industry in dealing with a CSRC investigation into alleged information disclosure misconduct by the company
- Represented a well-known group company in dealing with a Zhejiang Securities Regulatory Bureau investigation into alleged information disclosure misconduct by the company
- Represented a leading domestic asset management company in dealing with regulatory oversight measures imposed by the Shenzhen Securities Regulatory Bureau for the alleged failure by the company to fulfill due diligence when acting as a sponsor

Other commercial disputes

- Represented a leading US-based energy commodity trading company in its complicated CNY 300 million lawsuit against bitumen storage companies
- Represented the PRC affiliate of a leading supply chain group in its litigation with a German retail and wholesale company over disputes arising from a sales contract
- Represented the PRC cooperative partner of a renowned German automobile brand in its arbitration and litigation over disputes arising from automobile sales contracts and provided legal services for its general corporate business, including contract review and legal compliance training
- Represented the PRC affiliate of a famous Italian automobile manufacturer in its arbitration with its supplier in a dispute arising from a service contract
- Represented the PRC affiliate of a famous US-based automobile parts supplier in its arbitration with its supplier in a dispute arising from a service contract
- Represented a renowned Canada-based circus company in its litigation with a domestic culture company in a dispute arising from a performance contract
- Represented a famous French-based skin care company in its arbitration with its distributor in a dispute arising from a distribution agreement
- Represented the PRC cooperative partner of a famous Italian men's wear company in its litigation over warehouse leasing, store cooperation and debt collection matters
- Represented an energy technology company in its litigation with an electric company over disputes arising from a sales contract

OTHER INFORMATION

Education

- Shanghai Jiao Tong University, LL.M, 2013
- University of Wisconsin-Madison, LL.M, 2012
- Shanghai Jiao Tong University, LL.B, 2007

Professional Qualification

- Admitted to practice in the PRC

Work Language

- Chinese Mandarin
- English

Professional Background

Ms. Feng joined Fangda in 2013.